

Harold Schock III, MD Rotator Cuff Repair Rehabilitation Protocol

The following document is an evidence-based protocol for arthroscopic rotator cuff repair rehabilitation. The protocol is both chronologically and criterion based for advancement through four post-operative phases:

- Phase 1 Maximum Protection
- Phase 2 Active Range of Motion
- Phase 3 Strength
- Phase 4 Return-to-Activity

There are numerous principles of rotator cuff repair rehabilitation including:

- Initial post-operative immobilization period
- Emphasis on early shoulder PROM and joint mobility
- Gradual advancement of shoulder PROM, AAROM, and AROM

There are multiple factors which affect rotator cuff repair rehabilitation including:

- Size, location, and type of tear
- Multiple tendon involvement
- Tissue quality
- Mechanism of injury

Surgical techniqueConcomitant repairs

• Timing of surgery

- Individual patient characteristics
- The physician will designate the rate of progression based on the rotator cuff repair protocol type:
 - Type 1 Faster rate of progression
 - Small tears (< 1 cm), good to excellent tissue quality, etc.
 - Type 1A Fastest rate of progression
 - $\circ~$ Tenotomy, Distal Clavicle Resection, Subacromial Decompression
 - Sling worn until nerve block wears off, activities at waist height until 1st post-op visit, therapy begins after 1st post-op visit (~10 days), may progress as tolerated based at that time
 - Type 1AA Early focus on motion
 - $\circ~$ Capsule release and/or manipulation under anesthesia
 - Start day after surgery and see 5 times per week for at least 2 weeks frequency reviewed at 1st post-op visit
 - Type 2 Standard rate of progression
 - $\circ~$ Medium tears (1-3 cm), fair to good tissue quality, etc.
 - Type 3 Slower rate of progression
 - Large (3-5 cm) to massive tears (> 5 cm), poor tissue quality, etc.

The physician may provide modifications to the rehabilitation program for significant concomitantrepairs:

- Subscapularis repair
 - $\circ~$ Limit shoulder external rotation PROM to 30 $^\circ$ for 6 weeks post-operatively
 - $\circ~$ No shoulder internal rotation strengthening for 12 weeks post-operatively
- Posterior rotator cuff repair infraspinatus and teres minor
 - $\circ~$ Limit shoulder internal rotation PROM to 30 $^\circ$ for 6 weeks post-operatively
 - $\circ~$ No shoulder external rotation strengthening for 12 weeks post-operatively
- Biceps Tenodesis and/or SLAP Repair
 - \circ No active biceps for 6 weeks post-operatively

Restoration of the stability of the shoulder
Safe, progressive loading of the rotator cuff through shoulder, scapular, and total arm strengthening



Phase 1 – Maximum Protection

- Type 1: Post-Operative Weeks 0-4
- Type 2: Post-Operative Weeks 0-6
- Type 3: Post-Operative Weeks 0-8

Immobilization

• Immobilization in ABD sling for 4 weeks (Type 1), 6 weeks (Type 2 & 3), or per physician, therapist to transition patient out of sling

Initial Post-Op Exercises

- Elbow, forearm, wrist, hand (grip) AROM exercises; pendulum (Codman's) exercise; scapular squeezes; upper trapezius stretching; postural correction
- Remove ABD sling 3 times per day for performance of HEP
- Cryotherapy to minimize pain and inflammation

Post-Op Physical Therapy

- 1st physical therapy visit to occur 4 weeks post-op
 - Ensure appropriate fit in ABD sling and reinforce on proper use
 - Review initial post-operative exercises and reinforce on proper performance
 - PROM check performed
 - Goal 90° FLEX, 90° ABD, 30° IR and ER at 45° ABD
 - Limit 120° FLEX, 90° ABD, 45° IR and ER at 45° ABD
 - $\circ~$ If <code>PASS</code> PROM check, begin follow-up in physical therapy at 6 weeks post-op
 - $\circ~$ If NOT pass PROM check, begin follow-up in physical therapy immediately
 - Emphasis on early shoulder PROM and glenohumeral joint mobility

Aquatics

- Utilize aquatics for patients who are significantly painful, stiff, or guarded
 - Initiate when surgical incisions have healed
 - Initiate buoyancy assisted ROM exercises within limitations
 - Consider alternating land- and aquatic-based physical therapy visits

Manual Therapy

- Initiate pain dominant glenohumeral joint mobilization (grade 1-2)
- Initiate scar mobilization, soft tissue mobilization, lymph edema massage
- Initiate other shoulder, scapular, and cervicothoracic manual therapy techniques as needed

PROM

- Initiate manual shoulder PROM in all planes of motion within limitations
 - $\circ~$ Limit 120 $^{\circ}~$ FLEX, 90 $^{\circ}~$ ABD, 45 $^{\circ}~$ IR and ER at 45 $^{\circ}~$ ABD
 - $\circ~\mbox{Avoid sustained end range stretching}$

AAROM

- Initiate shoulder ER AAROM with wand at 45° ABD
- Initiate shoulder FLEX and ABD AAROM
 - Table slides, U.E. Ranger, physio-ball, wand, etc.
 - Avoid pulleys

Modalities

• Utilize cryotherapy, thermotherapy, and electrical modalities as needed

Goals for Phase 1

- Minimize pain and inflammation
- Protect integrity of the repair
- Initiate shoulder PROM
- Prevent muscular inhibition

Criteria for progression to Phase 2

- Minimal pain with Phase 1 exercises
- Passive shoulder flexion ≥ 120°
- Passive shoulder abduction ≥ 90°
- Passive shoulder internal and external rotation at 45° abduction in scapular plane ≥ 45° each



Phase 2 – Active Range of Motion

- Type 1: Post-Operative Weeks 4-10
- Type 2: Post-Operative Weeks 6-12
- Type 3: Post-Operative Weeks 8-14

Goals for Phase 2

- Minimize pain and inflammation
- Aquatics
- Continue aquatics for patients who are significantly painful, stiff, or guarded Stretching
 - Initiate shoulder stretching exercises in all planes of motion as tolerated

Manual Therapy

- Continue pain dominant glenohumeral joint mobilization (grade 1-2) as needed
- Initiate stiffness dominant glenohumeral joint mobilization (grade 3-4) as needed • Utilize stiffness dominant glenohumeral joint mobilization (grade 3-4) to facilitate specific AROM and PROM deficits
- Continue scar mobilization, soft tissue mobilization, lymph edema massage as needed
- Continue other shoulder, scapular, and cervicothoracic manual therapy techniques as needed

PROM

 Continue manual shoulder PROM in all planes of motion as tolerated Initiate sustained end range stretching

AAROM

- Continue shoulder ER AAROM with wand at 45° ABD Progress from 45° to 60° to 90° ABD
- Continue shoulder FLEX and ABD AAROM
- Table slides, wall slides, U.E. Ranger, physioball, wand, pulleys, etc.

AROM

- Initiate shoulder AROM in all planes of motion as tolerated
 - Gradually progress from gravity reduced to full gravity positions
 - Gradually progress from below shoulder height to above shoulder height
 - Consider single-planar and multi-planar movement patterns
- Do **NOT** exercise through shoulder shrug sign

Strengthening

- Initiate sub-maximal shoulder isometrics for FLEX, ABD, EXT, IR, and ER
- Initiate light isotonic scapular strengthening
- supine press, serratus press outs, prone row, etc.
- Initiate light isotonic biceps and triceps strengthening
- Initiate sub-body weight closed-chain strengthening exercises • Wall press outs, countertop press outs, etc.
- Avoid sub-body weight suspension training exercises • TRX, GTS, assisted chin or dip machine, etc.
- Do NOT exercise through shoulder shrug sign

Neuromuscular Control

- Initiate sub-maximal rhythmic stabilization drills
 - $\circ~$ Gradually progress shoulder FLEX from 100 $^{\circ}$ to 90 $^{\circ}$ to 60 $^{\circ}$ to 30 $^{\circ}$
 - Gradually progress shoulder IR and ER from 30° to 60° to 90° ABD

NMES

 Utilize NMES to facilitate rotator cuff and scapular activation and strengthening Modalities

• Utilize cryotherapy, thermotherapy, and electrical modalities as needed

- Restore full shoulder PROM
- Restore full shoulder AROM
- Initiate sub-maximal rotator cuff activation and neurodynamic stabilization exercises
 - No shoulder shrug sign with elevation AROM

Criteria for Progression to Phase 3

- Minimal pain with Phase 2 exercises
- Full shoulder PROM with minimal pain
- Full shoulder AROM with minimal pain
- Demonstrate neurodynamic stabilization of the shoulder
 - No evidence of shoulder shrug with elevation AROM



Phase 3 – Strength

- Type 1: Post-Operative Weeks 10-18
- Type 2: Post-Operative Weeks 12-20

Type 3: Post-Operative Weeks 14-22

Stretching

Continue shoulder stretching exercises as needed

Manual Therapy

- Continue stiffness dominant glenohumeral joint mobilization (grade 3-4) as needed
- Continue other shoulder, scapular, and cervicothoracic manual therapy techniques as needed

PROM

Continue manual shoulder PROM as needed

Strengthening

- Initiate gradual progression of isotonic rotator cuff strengthening exercises
 - $\circ~$ Gradually progress from gravity reduced to full gravity positions
 - $\,\circ\,\,$ Gradually progress from below shoulder height to above shoulder height
 - $\circ~$ Gradually progress internal and external rotation from 30 $^\circ~$ to 60 $^\circ~$ to 90 $^\circ~$ abduction and from supported to unsupported conditions
 - $\circ~$ Consider single-planar and multi-planar movement patterns
- Progress isotonic scapular strengthening exercises
 - Progress from isolated to functional movement patterns
- Progress isotonic biceps and triceps strengthening exercises
 Progress from isolated to functional movement patterns
- Progress closed-chain strengthening exercises
 - Gradually progress from sub-body weight to full body weight positions
 Gradually progress from stable to unstable surfaces
- Initiate gradual progression of sub-body weight suspension training exercises
 TRX, GTS, assisted chin or dip machine, etc.
- Do NOT exercise through shoulder shrug sign

Neuromuscular Control

- Progress rhythmic stabilization exercises to more functional positions and dynamic movement patterns
 - $\circ~$ Gradually progress from mid-range to end range positions
 - Gradually progress from open-chain to closed-chain positions
- Initiate gradual progression of other neuromuscular control exercises
- $\circ~$ Body blade, wall dribbles, ball flips, plyoback, etc.

Core Stabilization

Incorporate core integrated exercises with strengthening and neuromuscular control progression

NMES

- Utilize NMES to facilitate rotator cuff and scapular activation and strengthening **Modalities**
 - Utilize cryotherapy, thermotherapy, and electrical modalities as needed

Goals for Phase 3

- Minimize pain and inflammation
- Maintain full shoulder PROM and AROM
- Improve shoulder, scapular, and total arm strength
- Improve neurodynamic
- stabilization of the shoulder
- No shoulder shrug sign with strengthening exercises

Criteria for Progression to Phase 4

- Minimal pain with Phase 3 exercises
- Full, pain free shoulder PROM and AROM
- Shoulder, scapular, and total arm strength ≥ 80% of the uninvolved side (4/5)

OR

- Shoulder internal and external rotation isokinetic strength ≥ 80% of the uninvolved side
 - 30°/30°/30° position if NOT overhead athlete or physical laborer
 - 90°/90° position if overhead athlete of physical laborer
- Demonstrate neurodynamic stabilization of the shoulder
 - No shoulder shrug sign with strengthening exercises



Phase 4 - Return to Activity

- Type 1: Post-Operative Weeks 18+
- Type 2: Post-Operative Weeks 20+
- Type 3: Post-Operative Weeks 22+

Goals for Phase 4

- Minimize pain and inflammation
- Maintain full shoulder PROM and AROM
- Restore shoulder, scapular, and total arm strength, power, and endurance
- Restore neurodynamic stabilization of the shoulder
- Safe and effective return to previous level of function for occupational, sport, or desired activities

Criteria for Return to Activity

- Minimal pain with phase 4 exercises
- Full, pain free hip PROM and AROM
- Shoulder, scapular, and total arm strength ≥ 90% of the uninvolved side (4+/5)

OR

- Shoulder internal and external rotation isokinetic strength ≥ 90% of the uninvolved side
- 30°/30°/30° position if NOT overhead athlete or physical laborer
- 90°/90° position if overhead
- athlete or physical laborer
- Demonstrate neurodynamic stabilization of the shoulder
- Successful completion of returnto-sport testing if athlete
- Successful completion of functional capacity evaluation if physical laborer
- Disability Arm Shoulder Hand Index score ≤ 15% disability

Stretching

Continue shoulder stretching exercises as needed

Manual Therapy

- Continue stiffness dominant glenohumeral joint mobilization (grade 3-4) as needed
- Continue other shoulder, scapular, and cervicothoracic manual therapy techniques as needed

PROM

• Continue manual shoulder PROM as needed

Strengthening

- Continue Phase 3 strengthening exercises
- Consider specific demands of occupational, sport, or desired activities

Neuromuscular Control

- Continue Phase 3 neuromuscular control exercises
- Consider specific demands of occupational, sport, or desired activities

Core Stabilization

• Continue incorporate core integrated exercises with strengthening and neuromuscular control progression

Sport-Specific Training Program

- Initiate interval sport programs
 - Baseball, softball, football, swimming, volleyball, tennis, golf, etc.
- Transition to Athletic Republic program if competitive or recreational athlete with specific goals for return-to-sport

Weight Lifting

- Initiate traditional weight lifting exercises
 - $\circ~$ Educate patient to strengthen prime movers AND secondary stabilizers
 - Educate patient to balance anterior AND posterior musculature

Work Specialty Rehabilitation Program

- Transition to work re-conditioning if physical laborer
- Transition to work re-conditioning if specific occupational demands
 - Lifting requirements, overhead tasks, repetitive tasks, tool or machine work, etc.

Modalities

- Utilize cryotherapy, thermotherapy, and electrical modalities as needed
- HEP
 - Establish HEP for long-term self-management



References

- 1. Ellenbecker TS & Davies GJ. The application of isokinetics in testing and rehabilitation of the shoulder complex. *J Athl Training*. 2000; 35(3): 338-350.
- 2. Escamilla RF et al. Shoulder muscle activity and function in common shoulder rehabilitation exercises. *Sports Med.* 2009; 39(8): 663-685.
- 3. Ghodadra NS et al. Open, mini-open, and all-arthroscopic rotator cuff repair surgery: indications and implications for rehabilitation. *J Orthop Sports Phys Ther*. 2009; 39(2): 81-89.
- 4. Kelly BT et al. Shoulder muscle activation during aquatic and dry land exercises in non-injured subjects. *J Orthop Sports Phys Ther*. 2000; 30(4): 204-210.
- 5. Millett PJ et al. Rehabilitation of the rotator cuff: an evaluation-based approach. *J Am Acad Orthop Surg*. 2006; 14(11): 599-609.
- 6. Moseley JB et al. EMG analysis of the scapular muscles during a shoulder rehabilitation program. *Am J Sports Med*. 1992; 20(2): 128-134.
- 7. Negrete RJ et al. Reliability, minimal detectable change, and normative values for tests of upper extremity function and power. *J Strength Cond Res.* 2010; 24(12): 3318-3325.
- 8. Parsons BO et al. Does slower rehabilitation after arthroscopic rotator cuff repair lead to long-term stiffness? *J Shoulder Elbow Surg*. 2010; 19(7): 1034-1039.
- 9. Reinold MM et al. Electromyographic analysis of the rotator cuff and deltoid musculature during common shoulder external rotation exercises. *J Orthop Sports Phys Ther*. 2004; 34(7): 385-394.
- 10. Reinold MM et al. The effect of neuromuscular electrical stimulation of the infraspinatus on shoulder external rotation force production after rotator cuff repair surgery. *Am J Sports Med*. 2008; 36(12): 2317-2321.
- 11. Reinold MM. Current concepts in the scientific and clinical rationale behind exercises for glenohumeral and scapulothoracic musculature. *J Orthop Sports Phys Ther*. 2009; 39(2): 105-117.
- 12. Reinold MM & Gill TJ. Current concepts in the evaluation and treatment of the shoulder in overhand throwing athletes, part 1: physical characteristics and clinical examination. *Sports Health*. 2010; 2(1): 39-50.
- 13. Reinold MM et al. Current concepts in the evaluation and treatment of the shoulder in overhead throwing athletes, part 2: injury prevention and treatment. *Sports Health*. 2010; 2(2): 101-115.
- 14. Roush JR. Reference values for the closed kinetic chain upper extremity stability test for collegiate baseball players. *N Am J Sports Phys Ther.* 2007; 2(3): 159-163.
- 15. Thein JM & Thein-Brady L. Aquatic-based rehabilitation and training for the shoulder. *J Athl Training*. 2000; 35(3): 382-389.
- 16. Townsend H et al. Electromyographic analysis of the glenohumeral muscles during a baseball rehabilitation program. *Am J Sports Med*. 1991; 19(3): 264-272.
- 17. Westrick RB et al. Exploration of the Y-Balance test for assessment of upper quarter closed kinetic chain performance. *Int J Sports Phys Ther*. 2012; 7(2): 139-147.
- 18. Wilk KE et al. Rehabilitation after rotator cuff surgery. *Tech Shoulder Elbow Surg*. 2000; 1(2): 128-144.

This protocol was reviewed and updated by Dan Reznichek, DPT, MS, SCS, LAT, Rebecca Yde, PT, DPT, and Harold Schock III, MD on January 8, 2015.